

# Groups and permutations

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## 1 Abstract groups

### 1.1 Formal definition

**Definition 1.** A **group** is a pair  $(G, \cdot)$  consisting of a set  $G$  and a binary operation  $\cdot : G \times G \rightarrow G$  for which the following are satisfied.

1. For all  $f, g, h \in G$ , the **associative law** holds:  $(f \cdot g) \cdot h = f \cdot (g \cdot h)$ .
2. There exists an element  $e \in G$ , called the **identity element** for which  $e \cdot g = g = g \cdot e$  for all  $g \in G$ .
3. For every  $g \in G$ , there exists an element  $g^{-1} \in G$ , called the **inverse** of  $g$ , satisfying  $g \cdot g^{-1} = e = g^{-1} \cdot g$ .

For example, the integers form a group under the operation of addition. In this case, the underlying set is  $\mathbb{Z}$ , the set of integers (positive and negative whole numbers, along with zero), and the binary operation is addition  $+$ ; i.e., the pair  $(\mathbb{Z}, +)$  forms a group. Indeed, we know that addition of integers is associative:  $(m + n) + p = m + (n + p)$ ; e.g.,  $(3 + 5) + 2 = 9 = 3 + (5 + 2)$ . The identity in this case is 0, since  $n + 0 = n + 0 = n$  for any integer  $n$ ; e.g.,  $5 + 0 = 5 = 0 + 5$ . And the inverse of the integer  $n$  is given by its negation  $-n$ :  $n + (-n) = 0 = (-n) + n$ ; e.g., the (additive) inverse of  $-5$  is  $-(-5) = 5$ , since  $(-5) + 5 = 0 = 5 + (-5)$ .

**Exercise 1.** Show that  $(\mathbb{R}_+, \cdot)$  is a group, where  $\mathbb{R}_+$  denote the set of positive integers (not including 0), and  $\cdot$  is the usual multiplication of real numbers.

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**Exercise 2.** Let  $G \doteq \mathbb{Z} \times \mathbb{Z}$  (the set consisting of all pairs of integers), and define the binary operation  $\star$  on  $G$  by  $(m, n) \star (p, q) \doteq (m + (-1)^n p, n + q)$ . Show that  $(G, \star)$  is a group.

**Exercise 3.** Let  $(H, \#)$  and  $(K, \flat)$  be groups. Set  $G \doteq H \times K$ ; i.e.  $g \in G$  is an ordered pair  $g = (h, k)$  for some  $h \in H$  and  $k \in K$ . Moreover, let  $\cdot$  denote the binary operation

$$(h_1, k_1) \cdot (h_2, k_2) \doteq (h_1 \# h_2, g_1 \flat g_2).$$

Show that  $(G, \cdot)$  is a group ( $G$  is said to be the direct product of  $H$  and  $K$ ).

In exercise 1, the binary operation  $\cdot$  commutes; that is  $x \cdot y = y \cdot x$  for all (positive) real numbers  $x, y$ . A group whose binary operation commutes is said to be an **Abelian group**. On the other hand, in exercise 2, the binary operation  $\star$  does *not* commute; i.e.,  $(m, n) \star (p, q) \neq (p, q) \star (m, n)$ , in general. For example  $(1, 1) \star (1, 0) = (0, 1)$ , while  $(1, 0) \star (1, 1) = (2, 1)$ . Consequently, the group  $(G, \star)$  is not Abelian.

## 1.2 Generators and relations

When discussing a group  $(G, \cdot)$  in the abstract, it is customary to drop the symbol  $\cdot$  from the notation, and simply write  $gh$  instead of  $g \cdot h$  — the binary operation  $\cdot$  being understood. In the remainder, we will adhere to this convention.

A useful way of describing a group is in terms of a collection of *generators* and *relations*. The **generators** of a group  $G$  are simply a collection of symbols; all finite strings from these symbols, and their inverses, represent elements in the group. For example, if  $\alpha, \beta$  are generators for  $G$ , then  $\alpha^3 \doteq \alpha\alpha\alpha$ ,  $\alpha\beta\alpha$ , and  $\beta^{-2} \doteq \beta^{-1}\beta^{-1}$  are all elements in  $G$ . The identity of  $G$  can be identified with the string of length zero (null string):  $e\alpha = \alpha = \alpha e$ . The **relations** for  $G$  are auxiliary conditions, or rules, for simplifying strings of generators. For example, we might have the relations  $\beta\alpha = \alpha^2\beta$ ,  $\alpha^3 = e$ , and  $\beta^2 = e$  in  $G$ . In this case, the string  $\beta\alpha^2\beta\alpha^{-1}\beta^3\alpha$  would represent the same element in  $G$  as the string  $\beta\alpha$ ; indeed, using the given relations we have

$$\beta\alpha^2\beta\alpha^{-1}\beta^3\alpha = \beta(\alpha^2\beta)\alpha^{-1}(\beta^2)\beta\alpha = \beta(\beta\alpha)\alpha^{-1}(e)\beta\alpha = (\beta^2)(\alpha\alpha^{-1})\beta\alpha = \beta\alpha$$

(the relations  $\alpha^{-1}\alpha = e = \alpha\alpha^{-1}$  and  $\beta^{-1}\beta = e = \beta\beta^{-1}$  are understood).

When defining a group in terms of generators and relations, it is common to write  $\langle \text{generators} \mid \text{relations} \rangle$  to mean the group with the specified generators and relations. E.g., the group  $G$  in the previous paragraph would be written as

$$G = \langle \alpha, \beta \mid \beta\alpha = \alpha^2\beta, \alpha^3 = e, \beta^2 = e \rangle. \quad (1)$$

Observe that the group  $G$  thus defined is non-Abelian, since  $\beta\alpha = \alpha^2\beta \neq \alpha\beta$ .

A simple but important group is  $C_n$ , the **cyclic group** of order  $n$ : the group with a single generator, say  $\gamma$ , and the single relation  $\gamma^n = e$ . That is,  $C_n \doteq \langle \gamma \mid \gamma^n = e \rangle$ . For instance, the cyclic group of order 3 has only the elements  $C_3 = \{e, \gamma, \gamma^2\}$ . The group  $C_n$  is necessarily Abelian.

**Exercise 4.** Let  $G$  be the group in equation (1). Show that as a set,  $G = \{e, \alpha, \beta, \alpha\beta, \alpha^2, \alpha^2\beta\}$ ; i.e., every string in  $\alpha, \alpha^{-1}, \beta$ , and  $\beta^{-1}$  can be reduced to one of these 6 forms.

**Exercise 5.** Show that the group  $G \doteq \langle \alpha, \beta \mid \alpha\beta = \beta\alpha, \alpha^2 = e, \beta^3 = e \rangle$  is isomorphic to the direct product group  $C_2 \times C_3$ ; that is, there is a map  $\eta : G \rightarrow C_2 \times C_3$  that is one-to-one and onto and preserves binary operations:  $\eta(g_1g_2) = \eta(g_1)\eta(g_2)$ .

## 2 Permutation groups

### 2.1 Permutations

Recall that a *permutation* of a sequence is a rearrangement of its terms. For example,  $[2, 3, 1]$  is a permutation of the sequence  $[1, 2, 3]$ . Alternatively, we may think of the permutation  $[2, 3, 1]$  as the map  $p : \{1, 2, 3\} \rightarrow \{1, 2, 3\}$ , where  $p(1) \doteq 2$ ,  $p(2) \doteq 3$ , and  $p(3) \doteq 1$ . Not all maps on  $\{1, 2, 3\}$  yield permutations: only those maps which are *bijective* will be permutations; that is, they must be *one-to-one* and *onto*. E.g., the map  $q : \{1, 2, 3\} \rightarrow \{1, 2, 3\}$ , given by  $q(1) \doteq 1$ ,  $q(2) \doteq 1$ ,  $q(3) \doteq 2$ , is not a permutation, since  $q$  is neither one-to-one (since  $q(1) = q(2)$ ) nor onto (as 3 has no preimage under  $q$ ); equivalently, the sequence  $[1, 1, 2]$  is not a permutation of  $[1, 2, 3]$ .

**Definition 2.** A **permutation on  $n$  letters** (or simply a **permutation** when  $n$  is understood) is a bijection  $p : \{1, 2, \dots, n\} \rightarrow \{1, 2, \dots, n\}$ . The set of all permutations on  $n$  letters is denoted by  $S_n$ .

The reader will recall the notion of the *composition* of two functions: if  $A, B, C$  are sets and  $f : B \rightarrow C$  and  $g : A \rightarrow B$  are two functions, then the composition of  $f$  and  $g$  is the function  $f \circ g : A \rightarrow C$  given by  $(f \circ g)(a) \doteq f(g(a))$ . For example, if  $g : \mathbb{R} \rightarrow \mathbb{R}$  denotes the function  $g(x) \doteq 2x + 3$ , and if  $f : \mathbb{R} \rightarrow \mathbb{R}$  denotes the function  $f(x) \doteq x^2$ , then  $f \circ g : \mathbb{R} \rightarrow \mathbb{R}$  is the function  $(f \circ g)(x) = (2x + 3)^2$ .

**Exercise 6.** Show that  $(S_n, \circ)$  is a group.

**Exercise 7.** We say that  $(H, \cdot)$  is a subgroup of a group  $(G, \cdot)$  if  $H$  is a subset of  $G$  and  $(H, \cdot)$  is a group (where  $\cdot : H \times H \rightarrow H$  is given by restricting the binary operation  $\cdot : G \times G \rightarrow G$ ). Show that if  $m \leq n$ , then  $(S_m, \circ)$  is a subgroup of  $(S_n, \circ)$ .

**Exercise 8.** Prove: if  $G$  is a finite group containing  $n$  elements, then there exists an injective (one-to-one) map  $i : G \rightarrow S_n$  such that for all  $g, h \in G$ , we have  $i(gh) = i(g) \circ i(h)$ .

In other words, every finite group is equivalent (isomorphic) to a subgroup of a permutation group. For example, consider the cyclic group of order three,  $C_3 = \langle \gamma \mid \gamma^3 = e \rangle$ . Let  $p \in S_3$  be the map  $p(1) \doteq 2$ ,  $p(2) \doteq 3$ ,  $p(3) \doteq 1$ . If we define  $p^2 \doteq p \circ p$ , or more generally,  $p^m \doteq p \circ p \circ \cdots \circ p$  ( $p$  composed with itself  $m$  times), then we find that

$$p^2(1) = 3, p^2(2) = 1, p^2(3) = 2, \quad \text{and} \quad p^3(1) = 1, p^3(2) = 2, p^3(3) = 3.$$

For example,  $p^2(1) = p(p(1)) = p(2) = 3$ , and  $p^3(2) = p(p(p(2))) = p(p(3)) = p(1) = 2$ . In particular,  $p^3$  is the *identity* map  $id$ , where  $id(k) \doteq k$  for  $k = 1, 2, 3$ . We see then that  $\{id, p, p^2\}$  forms a subgroup of  $S_3$ . Moreover, the map  $i : C_3 \rightarrow S_3$ , given by  $i(e) \doteq id$ ,  $i(\gamma) \doteq p$ , and  $i(\gamma^2) \doteq p^2$  respects the group operations; e.g.,  $i(\gamma\gamma) = i(\gamma) \circ i(\gamma)$ , since  $i(\gamma^2) = p^2 = p \circ p$ . And in particular,  $i$  respects the relation  $\gamma^3 = e$  in  $C_3$ :  $i(\gamma)^3 = id$ , since  $p^3 = id$ . Thus the group  $C_3$  and the subgroup  $\{id, p, p^2\} \subseteq S_3$  are essentially the same: they are isomorphic.

## 2.2 Cycle notation

When working with permutation groups, it is convenient to use a special notation, the so-called *cycle notation*. Consider the permutation  $p$  representing  $\gamma \in C_3$  above:  $p(1) = 2$ ,  $p(2) = 3$ , and  $p(3) = 1$ . In cycle notation, we would

write  $p$  as the *cycle*  $(1, 2, 3)$ . The meaning is that 1 is mapped to 2 under the permutation  $p$ , 2 is mapped to 3, and 3 is mapped back around to 1:

$$\left( \begin{array}{ccc} \downarrow & & \downarrow \\ 1, & 2, & 3 \\ \uparrow & \uparrow & \uparrow \end{array} \right).$$

Note that the cycles  $(2, 3, 1)$  and  $(3, 1, 2)$  are also representations of the permutation  $p$ . The permutation with  $1 \mapsto 3$ ,  $2 \mapsto 2$ , and  $3 \mapsto 1$  becomes  $(1, 3)$  in cycle notation; the convention is that if an index does not occur, it is mapped to itself. In general, the cycle  $(n_1, n_2, \dots, n_k)$  would represent the permutation with  $n_1 \mapsto n_2$ ,  $n_2 \mapsto n_3$ ,  $\dots$ ,  $n_{k-1} \mapsto n_k$ , and  $n_k \mapsto n_1$ ; all indices not in the list  $n_1, \dots, n_k$  map to themselves. The identity permutation is represented by the *trivial cycle*  $()$ .

Not every permutation can be represented by a single cycle; to represent any permutation, we must consider products of cycles. The product of two cycles is simply the composition of two permutations. Thus

$$(1, 2, 3, 4)(1, 2) = (1, 3, 4).$$

Indeed, if  $p$  is the permutation  $p(1) \doteq 2$ ,  $p(2) \doteq 3$ ,  $p(3) \doteq 4$ ,  $p(4) \doteq 1$ , and if  $q$  is the permutation  $q(1) \doteq 3$ ,  $q(2) \doteq 1$ ,  $q(3) \doteq 3$ ,  $q(4) \doteq 4$ , then  $p \circ q$  is the permutation  $(p \circ q)(1) = p(q(1)) = p(2) = 3$ ,  $(p \circ q)(2) = p(q(2)) = p(1) = 2$ ,  $(p \circ q)(3) = p(q(3)) = p(3) = 4$ , and  $(p \circ q)(4) = p(q(4)) = p(4) = 1$ ; which is represented by the cycle  $(1, 3, 4)$ .

Every permutation can be represented by a product of cycles. For example, consider the permutation sending the sequence  $[1, 2, 3, 4, 5, 6]$  to the sequence  $[3, 2, 1, 6, 4, 5]$ ; i.e.,  $1 \mapsto 3$ ,  $2 \mapsto 2$ , et cetera. Since  $1 \mapsto 3 \mapsto 1$ , one of the factors is the cycle  $(1, 3)$ . Another factor is  $(4, 6, 5)$ , since  $4 \mapsto 6 \mapsto 5 \mapsto 4$ . There are no other factors, as the only other index not used is 2, which is mapped to itself. Thus the given permutation is represented by the product of cycles  $(1, 3)(4, 6, 5)$ . Observe that the two cycles contain no indices in common, and so commute:  $(1, 3)(4, 6, 5) = (4, 6, 5)(1, 3)$ ; both give equivalent representations of our permutation.

**Exercise 9.** Show that  $(n_1, n_2, \dots, n_k)^{-1} = (n_k, n_{k-1}, \dots, n_1)$ .

**Exercise 10.** Show that  $(n_1, n_2, \dots, n_k) = (n_1, n_k)(n_1, n_{k-1}) \cdots (n_1, n_2)$ . As a consequence, conclude that every permutation can be written as a product of 2-cycles: cycles of the form  $(m, n)$ .

**Exercise 11.** *Show that if the permutation  $p$  can be written as the product of an even (odd) number of 2-cycles, then every other representation of  $p$  as a product of 2-cycles must also have an even (odd) number of factors.*

Thus we may define a permutation to be **even** if it can be written as a product of an even number of 2-cycles; similarly, it is **odd** if it can be written as a product of an odd number of 2-cycles. For example, the permutation  $(1, 3)(4, 6, 5)$  is odd, since  $(1, 3)(4, 6, 5) = (1, 3)(4, 5)(4, 6)$  — the product of an odd number of cycles.

**Exercise 12.** *Let  $A_n$  denote the set of all even permutations in  $S_n$ . Show that  $A_n$  is a (sub)group.  $A_n$  is called the alternating group on  $n$  letters.*

### 3 References

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